



Bevan Brittan 

Navigating the SRA regulatory landscape for law firms

The regulatory environment for law firms is increasingly complex and resource-intensive; as well technical requirements in areas such as fee transparency and anti-money-laundering, the SRA is scrutinising areas including firm culture and ethics in the conduct of litigation, and firms are acutely sensitive to the internal and external reputational risk of an investigation or enforcement action.

Our services

We offer a bespoke advisory and training service to law firms, providing a trusted, independent partnership of regulatory, risk and compliance professionals, delivering targeted support and training.

- **Training and workshops:** Helping you to ensure, and demonstrate, sensitivity to, and awareness of of obligations on, key areas of risk:
 - Workshops for partners anticipating and managing conflicts of interest
 - Training for contentious teams on ethical conduct in litigation
 - Training for COLPs and COFAs on managing client monies and the prohibition on providing banking facilities
 - Workshops for business leaders on designing service models to ensure compliance with restrictions on undertaking reserved legal activities
- **Risk and compliance audit:** We can undertake independent reviews of your systems and processes, identifying potential vulnerabilities and actionable responses.
- **Investigation and incident response:** We can assist in responding to SRA engagement and are experienced in providing comprehensive early responses to SRA engagement to minimise the disruption of regulatory action.

Our credentials

Bevan Brittan's professional regulatory team is immersed in advising regulated entities, including SRA-regulated firms and individuals, on the increasingly complex regulatory, risk and compliance landscape.

Bevan Brittan gives you access to:

- **Sector-leading expertise:** Deep insight into the SRA regulatory framework and its application to regulated entities and individuals.
- **A pro-active, tailored approach:** Bespoke training and workshop solutions designed for your structures and business model and tailored to anticipate and mitigate risk.
- **Cost-effective support:** Targeted and proportionate solutions.
- **A trusted team:** Our lawyers have many years' experience of acting as trusted advisers to organisations facing the most sensitive challenge and scrutiny across complex stakeholder maps.
- **Multidisciplinary support:** We can provide full-service support to firms and partnerships, including:
 - Employee relations, including restrictive covenant review and enforcement
 - Real estate
 - Commercial and outsourcing

Find out more

To find out more about how our services can help your legal team, explore our site or get in touch with Daniel Purcell for full details.



Explore
services



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